

SUNWAH KINGSWAY CAPITAL HOLDINGS LIMITED
(“Company”)

SHAREHOLDERS COMMUNICATION POLICY

1. Purpose

- 1.1 This Policy aims to set out the provisions with the objective of ensuring that the Company’s shareholders (“Shareholders”), and, in appropriate circumstances, the investment community at large, are provided with ready, equal and timely access to balanced and understandable information about the Company (including its financial performance, strategic goals and plans, material developments, governance and risk profile), in order to enable Shareholders to exercise their rights in an informed manner, and to allow Shareholders and the investment community to engage actively with the Company.
- 1.2 For the purpose of this Policy, references to the investment community is intended to include the Company’s potential investors as well as analysts reporting and analysing the Company’s performance.

2. General Policy

- 2.1 The Board shall maintain an on-going dialogue with Shareholders and the investment community, and will regularly review this Policy to ensure its effectiveness.
- 2.2 Information shall be communicated to Shareholders and the investment community mainly through the Company’s financial reports (quarterly summaries, interim and annual reports), annual general meetings and other general meetings that may be convened, as well as by making available all the disclosures published by The Stock Exchange of Hong Kong Limited (“SEHK”) on the Company’s website.
- 2.3 Effective and timely dissemination of information to Shareholders and the investment community shall be ensured at all times. Any question regarding this Policy shall be directed to the Company Secretary or Head of Corporate Communications.

3. Communication Strategies

Shareholders' enquiries

- 3.1 Shareholders should direct their questions about their shareholdings to the Company's Registrar of which the details are set out in section 5.
- 3.2 Shareholders and the investment community may at any time make a request for the Company's information to the extent such information is publicly available.
- 3.3 Shareholders and the investment community shall be provided with designated contacts, email addresses and enquiry lines of the Company in order to enable them to make any query in respect of the Company.

Corporate Communication*

- 3.4 Corporate communication will be provided to Shareholders in plain language and in both English and Chinese versions to facilitate Shareholders' understanding.

Corporate Website

- 3.5 A dedicated Investor Relations section is available on the Company's website www.sunwahkingsway.com. Information on the Company's website will be updated on a regular basis.
- 3.6 Information released by the Company to SEHK is also posted on the Company's website immediately thereafter. Such information includes financial reports, ESG reports, results announcements, circulars and notices of general meetings and associated explanatory documents, and other regulatory releases.
- 3.7 All presentation materials provided in conjunction with the Company's annual general meeting and results announcement each year will be made available on the Company's website as soon as practicable after their release.

Shareholders' Meetings

- 3.8 Shareholders are encouraged to participate in general meetings or to appoint proxies to attend and vote at meetings for and on their behalf if they are unable to attend the meetings.

- 3.9 Appropriate arrangements for the annual general meetings shall be in place to encourage Shareholders' participation.
- 3.10 The process of the Company's general meeting will be monitored and reviewed on a regular basis, and, if necessary, changes will be made to ensure that Shareholders' needs are best served.
- 3.11 Board members, including the Chairman of the Board and the chairmen of Board committees, appropriate management executives and the external auditor are expected to attend annual general meetings to answer Shareholders' questions. If physical attendance is not available, they should attend by way of electronic means.
- 3.12 Shareholders are encouraged to attend shareholders' activities organised by the Company, where information about the Company, will be communicated.

Investment Market Communications

- 3.13 The Company's Directors and employees who have contacts or dialogues with investors, analysts, media or other interested outside parties are required to comply with the disclosure obligations and requirements under the Company's communication policies as set out in the Staff and Compliance Handbooks.

4. Shareholder Privacy

- 4.1 The Company recognises the importance of Shareholders' privacy and will not disclose Shareholders' information without their consent, unless required by law to do so.

5. Communication with the Company

- 5.1 Shareholders are, at any time, welcome to raise questions, provide comments and suggestions on matters affecting the Company, and request for publicly available information from the Board and management by writing to the Company Secretary, Sunwah Kingsway Capital Holdings Limited, 7/F Tower One, Lippo Centre, 89 Queensway, Hong Kong or by email to pr@sunwahkingsway.com.
- 5.2 Shareholders should direct their questions about their shareholdings to the Company's Branch Share Registrar in Hong Kong, Computershare Hong Kong Investor Services

Limited, at Room 1712-1716, 17/F, Hopewell Centre, 183 Queen's Road East, Hong Kong.

6. Review of this Policy

The Board will review this Policy on an annual basis to ensure its effectiveness.

25 November 2022

- * Corporate Communications refers to any document issued or to be issued by the Company for the information or action of holders of any of its securities, including, but not limited to, the directors' report and annual accounts together with a copy of the auditor's report, the interim report, ESG report, a notice of meeting, a circular and a proxy form.